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NATIONAL TAIWAN UNIVERSITY

Regulations Governing the Establishment, Alteration, Merger, and Cessation of Teaching and Research Units

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Article 1 National Taiwan University (NTU or “the University”) formulates the *NTU Regulations Governing the Establishment, Alteration, Merger, and Cessation of Teaching and Research Units* (“the Regulations”) in accordance with Article 13 and Article 29 of the *NTU Organizational Charter*.

Article 2 The Regulations encompass colleges, departments, graduate institutes, divisions, degree programs, centers, and other teaching and research units with formal establishment and fixed office locations.

Article 3 For the establishment, alteration, merger, and cessation of teaching and research units, the following principles shall be considered:

1. International outlook and foresight
2. National and social development needs;
3. Long-term academic and strategic development needs of the University
4. The need to enhance teaching quality or research standards of the University
5. The need to improve the operational efficiency of the University;
6. The reasonable allocation of available resources such as space, manpower, and finances

7. Alignment with internal and external evaluation standards and reflection of evaluation results

Article 4 The establishment of teaching and research units shall meet the following conditions:

1. For the establishment of colleges:
 - 1) For each college, there shall be at least five teaching units, such as departments, graduate institutes, and degree programs. However, in the case of special academic fields, the establishment of colleges for coordinated development is not subject to this requirement.
 - 2) Full consideration shall be given to the integration of relevant departments and graduate institutes. The college, as the core, shall coordinate overall faculty staffing, curriculum planning and offerings, enrollment adjustments, and the rational allocation of teaching resources and funds, etc. This is aimed at establishing a mechanism for integrated resource management, flexible deployment adjustment, enabling students, faculty and the college as a whole to engage in interdisciplinary learning and development.
 - 3) The teaching units of the proposed new college shall meet the following criteria in teaching performance and research outcomes over the past five years:
 - I. Faculty quality standards: The student-to-faculty ratio and the number of faculty members, etc. shall comply with the provisions of the Ministry of Education *Standards Governing the Overall Scale of Development and Resource of Junior Colleges and Institutions of Higher Education*.
 - II. Evaluation of teaching and research units: All affiliated teaching and research units shall have passed evaluation without significant deficiencies.
 - III. Faculty evaluation: The proportion of the faculty members who have not passed the faculty evaluation shall not exceed that of the entire university faculty over the past five years.

- IV. Academic performance: Performance in various related academic disciplines shall be assessed with reference to benchmark schools of the university.
 - V. Research outcomes: Research project outcomes and publications from the affiliated teaching and research units shall be assessed with reference to benchmark schools of the university.
2. For the establishment and expansion of departments, graduate institutes, divisions, and degree programs (the “academic programs”):
- 1) The establishment or expansion shall effectively respond to the need for talent cultivation in the respective fields covered by the academic programs.
 - 2) The academic fields covered by the academic programs shall have clear distinctions different from those of existing teaching units and shall display specialized characteristics.
 - 3) For departments offering only undergraduate programs and with an enrollment in a bachelor’s program of one class, there shall be a minimum of twelve allocated faculty positions. For departments offering both undergraduate and graduate programs with an enrollment in a bachelor’s program of one class, there shall be a minimum of eighteen allocated faculty positions. With each additional undergraduate class enrollment, there shall be an increase of at least twelve allocated faculty positions. For graduate institutes or divisions explicitly listed in the university's *Organizational Charter*, there shall be a minimum of seven allocated faculty positions for each. For degree programs, there shall be a minimum of two full-time faculty members employed, and it shall comply with the regulations set by the Ministry of Education, including the qualifications and quality standards of full-time faculty employed by the program or supported by other departments or graduate institutes, as well as the student-to-faculty ratios. The allocation of space, funding, administrative personnel, and enrollment quotas shall be determined by the college, department, or graduate institute that establishes the degree program.

4) In addition to meeting the preceding three items, the establishment of master's or doctoral programs shall have quality faculty members, and sufficient books, instruments, and equipment. Moreover, supporting documentation demonstrating teaching performance and research outcomes in accordance with the standards specified in Item 3 of the preceding subparagraph shall be provided.

3. Establishment of research centers:

A research center shall be established for fields that clearly span across colleges, departments, graduate institutes, divisions, or degree programs and for fulfilling the need to enhance teaching or research standards. There should be sufficient participation from existing full-time faculty members from relevant colleges, departments, graduate institutes, divisions, or degree programs.

Alteration to teaching and research units shall comply with the provisions of the preceding paragraph.

In order to foster academic development, respond to global and social trends, and enhance the administration and operational efficiency of the university, professional schools or university-level functional colleges may be established, with specific regulations separately determined.

Article 5 The establishment and expansion of teaching and research units shall be accompanied by a proposal, which shall include the following:

1. Development direction: The unit's positioning in the college's development, and its co-competition with existing units shall be included.
2. Talent needs assessment: The prospects and importance of research and talent cultivation in the relevant fields for the country and society shall be included. For the units primarily admitting international students, the co-competition with counterpart units of universities from neighboring countries shall be included.
3. Faculty status and recruitment planning: A detailed plan shall be provided to specify talent sources and the specific approach for personnel affiliation, including the hiring of new faculty or the transfer of faculty from existing teaching and research units.

4. Curriculum planning.
5. Planning related to books, instruments and equipment.
6. Space planning: The size of the space shall comply with the regulations set by the Ministry of Education and the general standards of the university. If adjustments or additions to space, buildings, and major equipment are necessary, they shall be thoroughly examined and deemed feasible by the Office of General Affairs and the Campus Planning Committee, without harming the operation of existing units.
7. Specific suggestions from evaluation reports of the unit or related units over the past five years shall be considered.
8. Sufficient supporting documentation and illustration to demonstrate compliance with the conditions stipulated in Article 4, Paragraph 1.

Article 6 If the operation of a teaching or research unit does not comply with the principles stipulated in Article 3 or if the evaluation results indicate the necessity for alteration, merger, or cessation, relevant units shall be designated by the President for consultation and consideration regarding such alteration, merger, or cessation.

A teaching or research unit that deems it necessary to alter, merge or cease may submit specific reasons for the affiliated top-level unit to proceed with procedures as stipulated in Article 7.

Article 7 The review process for the establishment, alteration, merger, or cessation of teaching and research units shall be undertaken in accordance with the following procedures:

1. College Affairs Meeting: The proposal shall be reviewed and approved by the College Affairs Meeting before being submitted for university-level review. However, in instances of interdisciplinary collaboration across colleges, the application shall undergo a joint review by representatives appointed from collaborating colleges. This review will take place during the College Affairs Meeting of the responsible unit's affiliated college. If the review is approved, the application will then be submitted for university-level consideration.
2. Proposal examination: After receiving the proposal approved by the College Affairs Meeting as mentioned above, the Office of Academic Affairs should distribute it to the Office of General Affairs and the

Office of Research and Development for a detailed review of the content within their respective responsibility scopes. Specific opinions should be provided and consolidated by the Office of Academic Affairs for the Executive Vice President and the President to decide whether to proceed with the review by the Ad Hoc Committee.

3. Ad Hoc Committee Meeting: The Ad Hoc Committee shall consist of four to six internal and external experts or scholars recommended by the President, with the Executive Vice President serving as the convener. During the review, the attendance of the Vice President for Academic Affairs, the Vice President for General Affairs, and the Vice President for Research and Development is required. The head of the planning unit and the professors recommended by them may attend to provide explanations or supplementary materials. When necessary, the Ad Hoc Committee may conduct on-site visits to the relevant units. However, for plans related to alteration, merger, and cessation of teaching and research units deemed simple and not requiring additional university budgetary personnel headcount, space, or funding, the Office of the Executive Vice President may request approval from the President and exempt them from the review of the Ad Hoc Committees.
4. Administrative Meeting: The Office of the Executive Vice President shall submit the proposal to the Administrative Meeting for review.
5. University Development Planning Committee Meeting: The Office of the Secretariat shall submit the proposal to the University Development Planning Committee for review.

Article 8 The Regulations shall be passed by the University Council at its meeting and then implemented on the date of promulgation.