

[Promulgated on December 28, 2020]

NATIONAL TAIWAN UNIVERSITY

Guidelines for the Establishment of University-Level Functional Colleges

December 08, 2020 Passed by the 3,083rd Administrative Meeting

Article 1 National Taiwan University (NTU or “the University”) formulates the NTU *Guidelines for the Establishment of University-Level Functional Colleges* (“the Guidelines”) as a framework for the establishment of university-level functional colleges (“functional colleges”). The objective is to enhance the academic development of the university by enhancing its administrative and operational efficiency.

Article 2 The establishment and operation of functional colleges shall be actively planned by the university and must align with one of the following principles:

1. Encouraging educational innovation and implementing experimental education.
2. Promoting diversified development of education.
3. Aligning with international academic or industrial developments.

Article 3 The missions of functional colleges, as referred to in the Guidelines, are as follows:

1. Promoting educational innovation and developing diverse and innovative teaching and research models.
2. Promoting interdisciplinary teaching and research across colleges, departments, graduate institutes, and degree programs.
3. Attracting and nurturing domestic and international professionals in key fields or interdisciplinary areas.

Article 4 When establishing a functional college, a proposal and establishment directives shall be provided, each specifying the following:

1. The proposal shall include details such as:
 - 1) Name of the college

- 2) Reasons for establishment
- 3) Current situation of the University
- 4) Objectives of the establishment
- 5) Missions of the college
- 6) Goals of the college
- 7) Development strategies
- 8) Personnel planning
- 9) Space planning
- 10) Budget planning

2. The establishment directives shall include details such as:

- 1) Objectives of establishment
- 2) Missions of the college
- 3) Organization, operation, and management methods
- 4) Term of the dean and selection method
- 5) Regulations regarding composition, powers, and convening of the college affairs meeting
- 6) Regulations regarding curriculum committees, faculty evaluation committees, and faculty evaluation committees
- 7) Regulations regarding regular evaluations of the college's operation outcome

Functional colleges may formulate additional specific rules for management as needed.

Article 5 Functional colleges shall undergo regular evaluations. If the evaluation results indicate the necessity for alteration or cessation, relevant units shall be designated by the President for consultation regarding such alteration or cessation.

A functional college deems it necessary to alter or cease may submit specific reasons for the university to proceed with procedures as stipulated in Article 6 or Article 7.

Article 6 The review process for the establishment or alteration of a functional college shall be undertaken in accordance with the following procedures:

1. A unit designated by the President, either for establishment based on Article 2 or alteration based on consultation resolution under Article 5, shall submit an application to the Office of Academic Affairs following the provisions of Article 4.
2. The Office of Academic Affairs, the Office of General Affairs, and the Office of Research and Development shall thoroughly review the contents within their respective responsibility scopes and provide specific opinions.
3. The proposal shall be reviewed by the Ad Hoc Committee. The Committee shall consist of four to six internal and external experts or scholars recommended by the President, with the Executive Vice President serving as the convener. During the review, the Vice President for Academic Affairs, the Vice President for General Affairs, and the Vice President for Research and Development can be invited to attend, and the head of the planning unit or the professors recommended by them may attend to provide explanations or supplementary materials. When necessary, the Ad Hoc Committee may conduct on-site visits to the relevant units.
4. The President shall consider the aforementioned information and provide opinions.
5. The Office of the Secretariat shall compile the proposal, review reports from the Ad Hoc Committee, and the President's opinions, and submit them as a proposal for the President to present to the Administrative Meeting for review.

Article 7 The review process for the cessation of functional colleges shall be undertaken in accordance with the following procedures:

1. A unit designated by the President, either based on the consultation resolution under Article 5 or recognizing the necessity for cessation, shall submit a cessation plan to the Office of Academic Affairs at least one academic year before the intended discontinuation. The plan shall include measures for the relevant faculty, staff, and students and be approved by the College Affairs Meeting.

2. The Office of Academic Affairs, the Office of General Affairs, and the Office of Research and Development shall thoroughly review the contents within their respective responsibility scopes and provide specific opinions.
3. The Office of the Secretariat shall submit the plan to the Administrative Meeting for review.

Article 8 The administrative hierarchy of functional colleges within the university shall be considered equivalent to that of colleges.

Article 9 Any matters not addressed herein shall be subject to other relevant regulations of the University.

Article 10 The Guidelines shall be passed by the Administrative Meeting and then implemented on the date of promulgation.