

[Promulgated on August 08, 2022]

# NATIONAL TAIWAN UNIVERSITY

## Directives for the Establishment and Operation of the Faculty Evaluation Exemption Eligibility Review Panel

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| January 05, 2021 | Passed by the 3,085 <sup>th</sup> Administrative Meeting  |
| January 20, 2021 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1100004279  |
| July 19, 2022    | Passed by the 3,124 <sup>th</sup> Administrative Meeting  |
| August 08, 2022  | Promulgation of amended Articles 1 through 6, 8, 9, and 11 via NTU official letter Hsiao Chiao Tzu No. 1110060194 |

(Full amendment history at the end of this document)

- Article 1 National Taiwan University (NTU or “the University”) formulates the NTU *Directives for the Establishment and Operation of the Faculty Evaluation Exemption Eligibility Review Panel* (“the Directives”) in accordance with Article 9, Paragraph 3 of the NTU *Faculty Evaluation Guidelines* in order to review faculty members’ evaluation exemption eligibility and the revocation of said eligibility.
- Article 2 The Faculty Evaluation Exemption Eligibility Review Panel (“the Panel”) shall comprise the Chair of the University Faculty Evaluation Committee (“the Faculty Evaluation Committee”), the Vice President for Academic Affairs, the respective Deans of the University's colleges, and the Director of the Center for General Education. The Chair of the Faculty Evaluation Committee shall serve as the convener and Chair of the Panel.
- Article 3 In order to meet exemption eligibility requirements, the awards obtained and research projects undertaken by faculty members intending to apply for evaluation exemption in accordance with Article 10, Paragraph 1, Subparagraph 7 of the NTU *Faculty Evaluation Guidelines* shall comply with the following provisions:
1. Faculty members granted an Outstanding Research Award by the National Science and Technology Council or its predecessor agencies, the Ministry of Science and Technology; and the National Science Council (collectively “NSTC”), before and during Academic Year 2000-01 may be awarded two points counting towards evaluation exemption; faculty members granted an NSTC Outstanding Research Award between Academic Years 2002-03 and 2016-17 may be awarded three points; faculty members granted an

NSTC Outstanding Research Award during and after Academic Year 2017-18 may be awarded five points.

2. Faculty members serving for one and a half years as the principal investigator or co-principal investigator of research projects beginning in or before the fall semester of Academic Year 2004-05 and undertaken in accordance with the *Operation Guidelines for NSTC Research Project Grants* may be awarded one point; faculty members serving for two years as the principal investigator or co-principal investigator of research projects beginning in or after the spring semester of Academic Year 2004-05 and undertaken in accordance with the *Operation Guidelines for NSTC Research Project Grants* may be awarded one point.

Faculty members serving for two years as the principal investigator or co-principal investigator of research projects undertaken in accordance with the *Operation Guidelines for the MOE Subsidies for Teaching Practice Research Program for Teachers in Colleges and Universities* may be awarded one point.

When considering completed projects for evaluation exemption, the number of points awarded shall be based on the actual execution time period of the project. When considering ongoing projects for evaluation exemption, the number of points awarded for projects carried out for less than one year shall be the same as that carried out for a full year. However, the number of points awarded for projects expected to last less than one year shall be based on the actual duration of the project as of its completion date.

3. Faculty members who received the highest-level three-year research grant from any NSTC department in 2005 may be awarded three points.
4. Faculty members may be awarded one point for every NSTC Category A Research Award (or Excellence Award) received.
5. Faculty members who received the NSTC Ta-You Wu Memorial Award, the Academia Sinica Early-Career Investigator Research Achievement Award (formerly the Research Award for Junior Research Investigators), or the Academia Sinica Scholarly Monograph Award in the Humanities and Social Sciences may be awarded two points for each award received.
6. Faculty members who received the NTU Distinguished Teaching Award, the MOE Distinguished Award for General Education Teachers, or the

MOE Excellent Teacher Award once may be awarded two points; faculty members who received three NTU Outstanding Teaching Awards may be awarded one point. However, the aforementioned awards shall account for no more than two points in total.

7. Faculty members who received the NTU Distinguished (or Outstanding) Advisor Award or the NTU Outstanding Service Award once may be awarded two points; faculty members who received the NTU Excellent Service Award twice may be awarded one point. However, the aforementioned awards shall account for no more than two points in total.

The awards set forth in Subparagraphs 6 and 7 of the preceding Paragraph shall account for no more than three points in total.

The faculty evaluation regulations of each college shall specify whether awards and research projects not prescribed in the preceding subparagraphs of Paragraph 1 may be counted towards evaluation exemption, as well as the corresponding number of points that faculty members may be awarded for said awards and projects.

Should the faculty evaluation regulations of each college have stricter stipulations governing the matters prescribed herein, said regulations shall prevail.

- Article 4     Should a faculty member concurrently serve as the principal investigator or co-principal investigator of two or more research projects, only one project may count towards evaluation exemption.

In the event that a faculty member may be considered for evaluation exemption due to their serving as the principal investigator or co-investigator of a research project, or as a result of said project being granted awards, either their service or award(s) obtained shall count towards evaluation exemption, whichever is more favorable to the faculty member.

In the event that a faculty member may be considered for evaluation exemption by merit of their awards, and if the award period (except that of teaching and service awards) overlaps with the time period during which the faculty member serves as the principal investigator or co-principal investigator of a research project, the latter duration shall not count towards evaluation exemption.

The NSTC Outstanding Research Award shall not be subject to the provisions stipulated in Paragraphs 2 and 3 of this Article.

For the NSTC Ta-You Wu Memorial Award, the Academia Sinica Early-Career Investigator Research Achievement Award, and the Academia Sinica Scholarly Monograph Award in the Humanities and Social Sciences, only the first year of the award period shall be subject to the provisions stipulated in Paragraph 3 of this Article.

Article 5 Faculty members shall lose their evaluation exemption eligibility in the event that a request to revoke said eligibility is filed by a college of the University in accordance with Article 11 of the NTU Faculty Evaluation Guidelines, consented by at least two-thirds of the members present at the Review Panel meeting, and approved by the NTU President.

Article 6 Faculty members applying for evaluation exemption shall, through their affiliated academic program, submit their supporting documents to their respective College Evaluation Exemption Eligibility Review Panel or committee for review. The college shall recommend eligible candidates to the University by the end of March or October each year.

Article 7 In principle, Panel meetings shall be held twice a year, at the end of April and November respectively. Interim meetings may be held when necessary.

Article 8 A Panel meeting shall only be convened with at least two-thirds of the committee members in attendance.

Meeting resolutions shall be passed following a majority vote by the committee members in attendance. However, a resolution on the revocation of faculty members' evaluation exemption eligibility shall be subject to the provisions stipulated in Article 5 herein.

Article 9 Committee members who are unable to attend the Panel meeting may be represented by an administrative proxy.

In the event that the convener is unable to attend or host the meeting, a proxy may be appointed in their place.

Article 10 Relevant persons may be invited to Panel meetings to present a report or a statement.

Article 11 Committee members shall recuse themselves from Panel meetings when matters pertinent to their personal interests are being reviewed or discussed. Otherwise, they may be asked to recuse themselves by the Chair of the Panel.

Recused committee members shall neither count towards the number of members in attendance nor be allowed to vote

Article 12 The Directives shall be passed by the Administrative Meeting, and then implemented on the date of promulgation.

[Full Amendment History]

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|-------------------|---|
| July 31, 2007     | Passed by the 2,490 <sup>th</sup> Administrative Meeting  |
| August 14, 2007   | Promulgated via NTU official letter Hsiao Chiao Tzu No. 0960027684  |
| November 13, 2007 | Passed by the 2,501 <sup>st</sup> Administrative Meeting  |
| November 28, 2007 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 0960043246  |
| December 02, 2008 | Passed by the 2,552 <sup>nd</sup> Administrative Meeting  |
| December 18, 2008 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 0970051892  |
| February 03, 2009 | Passed by the 2,560 <sup>th</sup> Administrative Meeting  |
| February 19, 2009 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 0980006605  |
| March 10, 2009    | Passed by the 2,565 <sup>th</sup> Administrative Meeting  |
| March 20, 2009    | Promulgated via NTU official letter Hsiao Chiao Tzu No. 0980011204  |
| January 25, 2011  | Passed by the 2,655 <sup>th</sup> Administrative Meeting  |
| February 11, 2011 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1000005226  |
| March 22, 2011    | Passed by the 2,662 <sup>nd</sup> Administrative Meeting  |
| March 28, 2011    | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1000011834  |
| December 20, 2011 | Passed by the 2,697 <sup>th</sup> Administrative Meeting  |
| December 30, 2011 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1000110694  |
| May 14, 2013      | Passed by the 2,762 <sup>nd</sup> Administrative Meeting  |
| June 20, 2013     | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1020045950  |
| August 19, 2014   | Passed by the 2,822 <sup>nd</sup> Administrative Meeting  |
| August 27, 2014   | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1030064362  |
| May 05, 2015      | Passed by the 2,857 <sup>th</sup> Administrative Meeting  |
| June 16, 2015     | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1040042081  |
| June 06, 2017     | Passed by the 2,951 <sup>st</sup> Administrative Meeting  |
| June 19, 2017     | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1060047269  |
| May 07, 2019      | Passed by the 3,040 <sup>th</sup> Administrative Meeting  |
| May 28, 2019      | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1080042812  |
| February 04, 2020 | Passed by the 3,061 <sup>st</sup> Administrative Meeting  |
| February 20, 2020 | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1090012341  |
| May 12, 2020      | Passed by the 3,068 <sup>th</sup> Administrative Meeting  |
| June 13, 2020     | Reported to the University Council at its 2 <sup>nd</sup> meeting, spring semester, Academic Year 2019–20 |
| July 07, 2020     | Passed by the 3,072 <sup>nd</sup> Administrative Meeting  |
| July 21, 2020     | Promulgated via NTU official letter Hsiao Chiao Tzu No. 1090059493  |