

[Promulgated on June 09, 2023]

## **NATIONAL TAIWAN UNIVERSITY**

### **Regulations for Enhancing the Quality of Theses and Dissertations**

June 02, 2023                      Passed by the 2<sup>nd</sup> Academic Affairs Meeting, spring semester, Academic Year 2022-23  
June 09, 2023                      All articles promulgated

- Article 1    National Taiwan University (NTU or "the University") formulates the NTU *Regulations for Enhancing the Quality of Theses and Dissertations* ("the Regulations") in accordance with Article 6 of the *Standards for Addressing Academic Integrity Violations at Junior Colleges and Higher Education Institutions*, in order to enhance academic integrity among students in PhD programs, Master's programs, and in-service master's programs, and to enhance the overall quality of their theses and dissertations.
- Article 2    The NTU Center for General Education and relevant units shall establish academic integrity curricula to be offered to students with the aim of promoting research ethics among students and cultivating the necessary ethical awareness and attitudes required for engaging in academic research endeavors.
- Article 3    Students' participation in academic integrity curricula shall be governed by the *Enforcement Directives Governing the Taking of Research Ethics Courses*.
- Article 4    In addition to handling degree examinations in accordance with the *NTU Rules Governing Thesis/Dissertation Defense* to maintain the academic quality of theses and dissertations, each department, graduate institute and degree program (each an "academic program" or collectively "academic programs") shall establish a quality maintenance mechanism, which governs the number of graduate students under the active student status that each full-time faculty member may advise, determines the standards for assessing the similarity for a thesis or dissertation, and defines the standards for thesis/dissertation originality. The proposed mechanism shall be passed by a department/graduate institute-level meeting and a College Affairs Meeting, reported to the Office of Academic Affairs for reference, and then notified of the affiliated faculty members and students.
- Article 5    In the event that a thesis or dissertation, based on which a doctoral or Master's degree is conferred by the University in accordance with the *Degree Conferral Act*, is reported or alleged to have breached academic integrity, such instances shall be handled in accordance with the *NTU Directives for Handling Suspected Violations of Academic Ethics in Thesis/Dissertation*.
- Article 6    To uphold the quality of theses and dissertations, in the event of any breach of academic integrity in a thesis or dissertation from any academic program, and the degree is subject to revocation after review, the competent unit of the University may, at its own discretion, conduct an investigation and review to determine the

responsibilities of the supervising professor for the alleged thesis or dissertation in accordance with the *Teachers' Act*, the *Regulations for Appointing Part-time Faculty Members at Junior Colleges and Institutions of Higher Education*, the *NTU Directives for Faculty Disciplinary Actions*, and other relevant regulations.

- Article 7 If any academic program has two or more instances of academic integrity breaches in theses or dissertations within a three-year period, resulting in the revocation of degrees upon the University's review, NTU may establish a Committee for Enhancing Thesis/Dissertation Quality Review. This committee shall be responsible for evaluating the academic program in question. The results of the evaluation shall be used as a basis for the reviewed academic program to implement improvements, and the findings shall provide insights for adjusting resource allocation within the University or college, making revisions to mid- or long-term plans related to University affairs, and determining decisions regarding the establishment, modification, consolidation, or suspension of academic units.
- Article 8 The regulations governing the organization and operation of the Committee for Enhancing Thesis/Dissertation Quality Review in the preceding paragraph shall be stipulated separately, and then submitted to and passed by the Academic Affairs Meeting.
- Article 9 Matters not addressed herein shall be handled in accordance with other applicable regulations of the Ministry of Education and the University.
- Article 10 The Directives shall be passed by the Administrative Meeting and then implemented on the date of promulgation.